



**HIPAA Transaction – Health Care Claim Acknowledgement
Standard Companion Guide (277CA, 005010X214)**

**Instructions related to Transactions based on ASC X12
Implementation Guides, version 005010**

**Companion Guide Version Number: 1.1
November 1, 2017**

Preface

This guide serves as a Dean Health Plan and Prevea360 Health Plan (*underwritten by Dean Health Plan*) specific companion document to the *Health Care Claim Acknowledgement (277) Implementation Guide*. This document provides information related to specific requirements of the *Health Care Claim Acknowledgement (277)* transactions, but does not change the definition, data condition, or use of a data element or segment in a standard, add data elements or segments to the maximum defined data set, use any code or data elements that are either marked “not used” in the standard’s implementation specification or are not in the standard’s implementation specification(s), or change the meaning or intent of the HIPAA standards implementation specification.

All instructions in this document were written using information known at the time of publication and are subject to change. Dean Health Plan is not responsible for software used by the submitter to complete these transactions.

Contents

1	TI Introduction	4
1.1	Background.....	4
1.2	Intended Use.....	4
2	Included ASC X12 Implementation Guides	5
3	Instruction Tables	5
4	TI Additional Information	7
4.1	Payer Specific Business Rules and Limitations	7
	Trading Partner Information (TP)	8
5	TP Introduction	8
5.1	Purpose	8
6	Getting Started	8
6.1	Working Together.....	8
6.2	Trading Partner Registration	8
7	Testing and Approval Requirements	8
7.1	Testing Requirements	8
7.2	Certification Requirements	8
8	Connectivity / Communications	9
8.1	Process flows.....	9
8.2	Security Protocols	9
9	Contact information	10
9.1	EDI Technical Assistance / EDI Team.....	10
9.2	Provider Network Services	10
9.3	Customer Service.....	10
9.4	Applicable websites / e-mail	11
10	Control Segments / Envelopes	11
10.1	ISA-IEA	11
10.2	GS-GE	12
10.3	ST-SE	12
11	Acknowledgements and Reports	12
11.1	ASC X12 Acknowledgments.....	12
11.2	Report Inventory.....	12
12	Additional Trading Partner Information	13
12.1	Implementation Checklist	13
12.2	Trading Partner Agreement.....	13
13	Change Summary	13

Transaction Instruction (TI)

1 TI Introduction

1.1 Background

1.1.1 Overview of HIPAA Legislation

The Health Insurance Portability and Accountability Act (HIPAA) of 1996 carries provisions for administrative simplification. This requires the Secretary of the Department of Health and Human Services (HHS) to adopt standards to support the electronic exchange of administrative and financial health care transactions primarily between health care providers and plans. HIPAA directs the Secretary to adopt standards for transactions to enable health information to be exchanged electronically and to adopt specifications for implementing each standard HIPAA serves to:

- Create better access to health insurance
- Limit fraud and abuse
- Reduce administrative costs

1.1.2 Compliance according to HIPAA

The HIPAA regulations at 45 CFR 162.915 require that covered entities not enter into a trading partner agreement that would do any of the following:

- Change the definition, data condition, or use of a data element or segment in a standard.
- Add any data elements or segments to the maximum defined data set.
- Use any code or data elements that are marked “not used” in the standard’s implementation specifications or are not in the standard’s implementation specification(s).
- Change the meaning or intent of the standard’s implementation specification(s).

1.1.3 Compliance according to ASC X12

ASC X12 requirements include specific restrictions that prohibit trading partners from:

- Modifying any defining, explanatory, or clarifying content contained in the implementation guide.
- Modifying any requirement contained in the implementation guide.

1.2 Intended Use

The Transaction Instruction component of this companion guide must be used in conjunction with an associated ASC X12 Implementation Guide. The instructions in this companion guide are not intended to be stand-alone requirements documents. This companion guide conforms to all the requirements of any

associated ASC X12 Implementation Guides and is in conformance with ASC X12's Fair Use and Copyright statements.

2 Included ASC X12 Implementation Guides

This table lists the X12N Implementation Guides for which specific transaction Instructions apply and which are included in Section 3 of this document.

Unique ID	Name
005010X214	Health Care Claim Acknowledgment (277)

3 Instruction Tables

These tables contain one or more rows for each segment for which a supplemental instruction is needed. Note that only segments, data elements, and code values requiring our comment are included in the table. Refer to ASC X12 TR 3 for Health Care Claim Acknowledgment (277) for full transaction structure. This transaction guide is available from the Washington Publishing Company's website (<http://wpc-edi.com>).

Legend
SHADED rows represent "segments" in the X12N implementation guide.
NON-SHADED rows represent "data elements" in the X12N implementation guide.

005010X214 Health Care Claim Acknowledgment (277)

Loop ID	Reference	Name	Codes	Notes/Comments
2100A	NM1	Information Source Name		
	NM103	Name Last or Organization Name	DEAN HEALTH PLAN	
	NM108	Identification Code Qualifier	46	
	NM109	Identification Code	391535024	
2200B	TRN	Information Receiver Application Trace Identifier		
	TRN02	Reference Identification		Value submitted in the BHT03 data element from the 837
2200C	STC	Billing Provider Status Information		We do not use this segment.

Loop ID	Reference	Name	Codes	Notes/Comments
2200D	STC	Claim Level Status Information		
	STC01-1	Industry Code		X12 External Code Source 507
	STC01-2	Industry Code		X12 External Code Source 508
	STC01-3	Entity Identifier Code		41 - Submitter 71 - Attending Physician 72 - Operating Physician 73 - Other Physician 77 - Service Location 82 - Rendering Provider 85 - Billing Provider 87 - Pay-to Provider 1P - Provider DK - Ordering Physician DN - Referring Provider GB - Other Insured HK - Subscriber IL - Insured or Subscriber PR - Payer QC - Patient QD - Responsible Party
	STC010-1	Industry Code		X12 External Code Source 507
	STC010-2	Industry Code		X12 External Code Source 508
	STC010-3	Entity Identifier Code		41 - Submitter 71 - Attending Physician 72 - Operating Physician 73 - Other Physician 77 - Service Location 82 - Rendering Provider 85 - Billing Provider 87 - Pay-to Provider 1P - Provider DK - Ordering Physician DN - Referring Provider GB - Other Insured HK - Subscriber IL - Insured or Subscriber PR - Payer QC - Patient QD - Responsible Party
	STC011-1	Industry Code		X12 External Code Source 507
	STC011-2	Industry Code		X12 External Code Source 508

Loop ID	Reference	Name	Codes	Notes/Comments
	STC011-3	Entity Identifier Code		41 - Submitter 71 - Attending Physician 72 - Operating Physician 73 - Other Physician 77 - Service Location 82 - Rendering Provider 85 - Billing Provider 87 - Pay-to Provider 1P - Provider DK - Ordering Physician DN - Referring Provider GB - Other Insured HK - Subscriber IL - Insured or Subscriber PR - Payer QC - Patient QD - Responsible Party
	STC12	Free-form Message Text		Accepted: Accepted for processing. Rejected: uses rejection message from the rejection letter.
2220D	SVC	Service Line Information		We do not use this segment.
2220D	STC	Service Line Level Status Information		We do not use this segment.
2220D	REF	Service Line Item Identification		We do not use this segment.
2220D	DTP	Service Line Date		We do not use this segment.

4 TI Additional Information

4.1 Payer Specific Business Rules and Limitations

4.1.1 Direct Connection to Dean Health Plan Required

In order to receive 277CA responses from Dean Health Plan, a direct connection is required to our secure FTP site.

4.1.2 277CA Responses

277CA EDI responses can only be provided to trading partners who submit 837 EDI files directly to Dean Health Plan through our secure FTP site and who's 837 EDI files are accepted.

4.1.3 Scheduled Maintenance

Our scheduled maintenance schedule is posted on <http://www.deancare.com/health-insurance/quality/hipaa-transactions>.

Trading Partner Information (TP)

5 TP Introduction

5.1 Purpose

The purpose of this section is to provide information to trading partners to give them the information they need to exchange EDI data with us. This section and those that follow includes information about registration, testing, support, and specific information about enveloping and control record setup.

6 Getting Started

6.1 Working Together

See section 9.1 for communication methods for interacting with the EDI Department.

6.2 Trading Partner Registration

An EDI Trading Partner is any entity (provider, billing service, software vendor, employer group, financial institution, etc.) that transmits electronic data to or receives electronic data from another entity.

Trading partners interested in receiving 277CA responses from us must complete an EDI set up form. This form can be found on <http://www.deancare.com> under HIPAA Transactions or can be obtained by emailing or calling the EDI team.

7 Testing and Approval Requirements

7.1 Testing Requirements

Testing is not required with us to receive 277CA responses. We will supply the 277CA response in a uniform manner. We will not modify the structure or content to accommodate our trading partner's system.

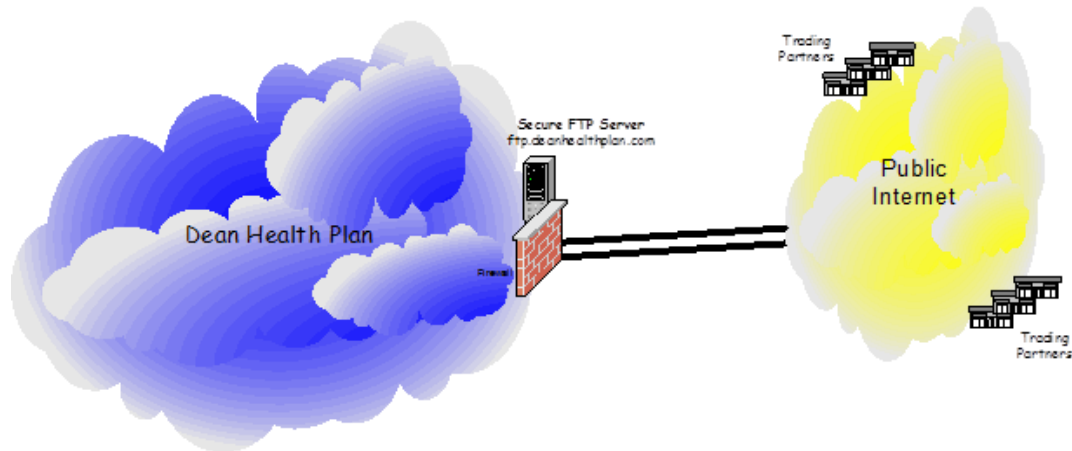
To accommodate the setup time needed by our trading partners to consume the 277CA response, we will continue to provide acceptance or rejection information through our Confirmation Reports Portal.

7.2 Certification Requirements

Confirmation of direct connection setup with us and that claims are currently being submitted directly to us through this connection.

8 Connectivity / Communications

8.1 Process flows



We use an FTP server to exchange transactions with trading partners. Trading partners will be set up on the FTP server upon their request.

Environment	FTP Address
Production	ftp.deanhealthplan.com

8.2 Security Protocols

8.2.1 SFTP/SSH

- Setup your connection using the FTP address above. Your Username and Password will be provided after your direct connection has been setup.
- For the type of connection, select SFTP/SSH.
- The first time that you connect, you will need to Trust the SSH Server Key.
- If you have a Network Firewall at your location that is blocking your ability to connect, your Network Administrator will need to open up the following:
 - Port 22 to IP address 204.86.231.69

8.2.2 PGP encrypted files

We will provide the 277CA response as PGP encrypted files. A provider or clearinghouse needs to supply us a copy of their public PGP key, which will be used to PGP encrypt the file. After retrieving the encrypted file from our secure FTP site, the provider or clearinghouse would use their corresponding private PGP key to decrypt the file. The file is only readable to the party in possession of the private key.

8.2.3 Confidentiality Protections Policy

We require PGP encryption, in addition to transmission via the SSH security protocol, to achieve a defense-in-depth/layered security posture for the protection of member/customer confidentiality. SFTP (SSH file transfer protocol) provides protection of the data in transit from DHP to the provider/clearinghouse, whereas PGP encryption provides protection of the at-rest data file. The combined use of these technologies raises the bar higher for a would-be attacker: the attacker must compromise both the data transmission and the at-rest encryption to have access to confidential data. With threats to security measures always increasing, DHP will periodically re-evaluate and revise our defense-in-depth posture to maximize confidentiality protections.

9 Contact information

9.1 EDI Technical Assistance / EDI Team

Phone: (608) 827-4320
Toll-free Phone: (800) 356-7344 Extension: 4320
Fax: (608) 252-0893
Email: edi@deancare.com

9.2 Provider Network Services

<http://www.deancare.com/providers/>

9.3 Customer Service

For non-EDI related questions, please contact:
DHP Customer Service
Phone: (608) 828-1301
Toll-free Phone: (800) 279-1301
Fax: (608) 827-4212

9.4 Applicable websites / e-mail

- Washington Publishing Company - <http://wpc-edi.com>.
- Additional Dean Health Plan EDI Information, including the EDI Companion Guide can be found at <http://www.deancare.com> under HIPAA Transactions.

10 Control Segments / Envelopes

10.1 ISA-IEA

Loop ID	Reference	Name	Codes	Notes/Comments
	ISA	Interchange Control Header		
	ISA01	Authorization Information Qualifier	00	Set to Qualifier No Authorization Information Present.
	ISA02	Authorization Information		No authorization information included; set to 10 blanks.
	ISA03	Security Information Qualifier	00	Set to Qualifier No Security Information Present.
	ISA04	Security Information		No security information included; set to 10 blanks.
	ISA05	Interchange ID Qualifier	30	Set to Interchange ID Qualifier of U.S. Federal Tax Identification Number.
	ISA06	Interchange Sender ID	391535024	Set to Dean Health Plan's Tax ID with left justify and space filled formatting.
	ISA07	Interchange ID Qualifier		Set to Interchange ID Qualifier received in ISA05 of the claim file.
	ISA08	Interchange Receiver ID		Set to Interchange Receiver ID received in ISA06 of the claim file.
	ISA11	Repetition Separator	^	Delimiter used for repetition separator.
	ISA12	Interchange Control Version Number	00501	Set to Version number for ASC X12 Procedures Review Board.
	ISA14	Acknowledgement Requested	0	No Interchange Acknowledgement Requested.
	ISA16	Component Element Separator	<	Delimiter used for component element separator.
	IEA	Interchange Control Trailer		

Loop ID	Reference	Name	Codes	Notes/Comments
	IEA01	Number of Included Functional Groups		Set to number of functional groups.
	IEA02	Interchange Control Number		Set to control number, should match header.

10.2 GS-GE

Loop ID	Reference	Name	Codes	Notes/Comments
	GS	Functional Group Header		
	GS01	Functional Identifier Code	HN	Set to Functional Identifier Code of Health Care Information Status Notification ('HN').
	GS02	Application Sender's Code	391535024	Set to Application Sender's Code: Dean Health Plan's Tax ID.
	GS03	Application Receiver's Code		Set to what was received in ISA06 of the claim file.
	GS07	Responsible Agency Code	X	Set to Responsible Agency Code of Accredited Standards Committee.
	GS08	Version / Release / Industry Identifier Code	005010X214	Set to Version assigned to an implementation by X12.
	GE	Functional Group Trailer		
	GE01	Number of Transaction Sets Included		Set to the number of transaction sets included.
	GE02	Group Control Number		Set to Group Control Number, should match header.

10.3 ST-SE

Loop ID	Reference	Name	Codes	Notes/Comments
	ST	Transaction Set Header		
	ST03	Implementation Convention Reference		Set to same value as data element GS08

11 Acknowledgements and Reports

11.1 ASC X12 Acknowledgments

The 277CA is a response to the 837 claim files submitted directly to us.

11.2 Report Inventory

Claim acceptance and rejection information is also available through our Confirmation Reports Portal.

<https://dhpreports.smart-data-solutions.com/>

12 Additional Trading Partner Information

12.1 Implementation Checklist

- Direct connection established with Dean Health Plan.
- Trading Partner currently submits their 837 EDI claim files directly to Dean Health Plan.
- EDI Setup form has been filled out and submitted to Dean Health Plan.
- A copy of the Trading Partner's public PGP key has been supplied to Dean Health Plan.

12.2 Trading Partner Agreement

A signed trading partner agreement is not required by Dean Health Plan.

13 Change Summary

Version	Date	Section(s) changed	Change Summary
1.0	9/21/2017		Initial version
1.1	11/1/2017	8.2	Additional information added